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Indirect transitives in Georgian
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Georgian, the major language of the South Caucasian (Kartvelian) family, has received a degree of notoriety for its complex pattern of case assignment, a paradigm example of split ergativity [in fact, all three varieties of split ergativity recognized by Dixon 1979; see Boeder 1979 for an excellent and concise presentation]. Georgian indicates grammatical relations by means of both nominal case and crossreferencing verb morphology, with somewhat different patterns of marking in the two systems. Kartvelian verb stems divide into two primary lexical classes commonly labelled active and passive [Shanidze 1953:289-90]. The labels are rather misleading - many verbs in the passive class are agentive (e.g. verbs of directed motion), while a few formally active verbs are semantically stative (*arsebobs* "s/he, it exists"; *q'avavilobs* "it blooms") [Harris 1981:268-74]. Also, while many active verbs are transitive, a sizeable subclass of them is not [Holisky 1981a]. What does distinguish the two classes is case and agreement patterning. Active verbs undergo **case shift** in certain tense/mood paradigms, while passives do not. The correlation between typical semantic roles and formal markers for verbs of the two classes in each of the three tense-mood series is laid out in {1}.¹ The Kartvelian languages employ two sets of agreement affixes, termed Set V ("subject") and Set M ("object" - which, in the 3rd person, distinguishes Md "direct" and Mi "indirect object" affixes).

{1}	ACTIVE STEM			PASSIVE STEM	
	AGENT	PATIENT	IP (rec/ben)	THEME	IP
Series I					
agr.	V	Md	Mi	V	Mi
case	NOM	DAT	DAT	NOM	DAT
Series II					
agr.	V	Md	Mi	V	Mi
case	ERG	NOM	DAT	NOM	DAT
Series III					
agr.	Mi	V	---	V	Mi
case	DAT	NOM	[+ postp]	NOM	DAT

A sample conjugation of the active and passive stems derived from the root *gzavn*- "send" is given in {2} and {3}.

- {2a} ACTIVE
- Series I *shvil-eb-i c'eril-s ga-u-gzavni-an mama-s*
 [child-PL-NOM letter-DAT will-send-I-3pl/3/3 father-DAT]
- Series II *shvil-eb-ma c'eril-i ga-u-gzavn-es mama-s*
 [child-PL-ERG letter-NOM sent-II-3pl/3/3 father-DAT]
- Series III *shvil-eb-s c'eril-i ga-u-gzavni-a-t mam-isa-tvis*
 [child-PL-DAT letter-NOM have-sent-III-3/3pl father-GEN-for]
 "The children will send/sent/have sent their father a letter."

(2b)	PASSIVE		
Series I,II,III	ga-e-gzavneb-a		
	c'eril-i	ga-e-gzavn-a	shvil-s
		ga-h-gzavnebi-a	
	[letter-NOM will-be/was/has-been-sent-3/3 child-DAT]		
	"The letter will be sent/was sent/has been sent to the child."		

The shift in case patterning for active verbs between series I and II is of a type seen in many of the world's languages [Dixon 1979, DeLancey 1981]. Active verbs in series III undergo what Harris [1981:ch 8] terms *inversion* - essentially conversion into the moral equivalent of a passive with a *dativus auctoris* indirect object. This phenomenon occurs in the other Kartvelian languages as well [Harris 1985:271-327]. Many Georgian and Western European linguists, confronting the complex relation between case, agreement and semantic role just illustrated, have resorted to a terminological distinction between "real" and "grammatical" subject and object [Schuchardt 1896; Chikobava 1967,1968; cp Shanidze 1963]. Grammatical subjecthood is usually defined in terms of person agreement: that argument crossreferenced by Set V person affixes is the grammatical subject (GS). So, for the sentences in (2a), the GS would be bavshveb- for the Series I and II examples, and c'eril- for the Series III example. For the passive verbs in (2b), c'eril- is the GS in all three series. The correspondence of GS to RS for the verbs in (2) is shown in (3).

(3)	ACTIVE STEM			PASSIVE STEM	
	<u>agent</u>	<u>patient</u>	<u>IP</u>	<u>theme</u>	<u>IP</u>
<u>Series I,II</u>	GS/RS	GDO/RDO	GIO/RIO	GS/RS	GIO/RIO
<u>Series III</u>	GIO/RS	GS/RDO	---/RIO	GS/RS	GIO/RIO

The notion of "real" subject (RS) was defined in terms of agentivity, with the most agentive core argument in the clause designated RS. While the category is clearly an import from the west, it is in fact the case that the RS is more likely to come first in the sentence [Apridonidze 1986:17-21], be represented by a zero anaphor [E nukidze 1978:74], bind reflexive and reciprocal pronominals [Harris 1981:24], and be the coreferential argument in control constructions [Harris 1981:154-6]. While any 1st or 2nd person NOM,DAT or ERG argument, that is, any 1st or 2nd person term, can govern number agreement in modern Georgian, regardless of syntactic role, only 3rd person RSs have this privilege [Harris 1978; Aronson 1976]. Series III inversion is not the only case of non-overlap between GS and RS. In Georgian, as well as in the other Kartvelian languages, there is a large subclass of passive verbs of perception, emotion and sensation (e.g. u-q'var-s "s/he<DAT> loves her/him<NOM>; e-smi-s "s/he <DAT> hears/understands it<NOM>; e-mc'areb-a "s/he<DAT> finds it<NOM> bitter-tasting" [Merlan 1982]. The DAT argument associated with these verbs denotes the experiencer of some physical or psychological phenomenon, and the NOM argument denotes the object of the experience.² In such cases, note, the DAT experiencer outranks the NOM theme on the agentivity hierarchy and serves as RS. I will term these indirect

passive verbs, following the usage of K.Tschenkeli 1958:490]. The significance of the indirect passive subclass should not be underestimated. Its existence indicates that neither formal verb-stem criteria nor case and person-agreement patterning are sufficient to indicate RS status, the only morphological characteristic of which, as mentioned above, is ability to govern number agreement in all three persons. While the direct/indirect distinction has long been recognized for passive verbs, it is only very recently that descriptions of what we might call indirect active, more precisely, **indirect transitive verbs** appear in the Georgian linguistics literature [Jorbenadze 1983:82-83;K'iziria 1985]. All Georgian transitives, save for a handful which are formally passive [Harris 1981:268-74] manifest the pattern of case marking and person agreement shown in {1}. Included in this class are transitive verb stems of the sort shown in {4} and {5}:

- | | | |
|-----|------------------------------|---|
| (4) | Indirect transitives: | RS = GDO |
| | a. da-a-elmeb-s: | sthg makes sb crossed |
| | b. da-a-k'ut'eb-s: | sthg makes sb crippled |
| | c. ga-a-p'irkusheb-s: | sthg puts sb in a bad mood |
| | d. ga-a-rindeb-s: | sthg makes sb mute |
| | e. agh-a-t'q'ineb-s: | sthg makes sb ecstatic |
| | f. da-a-pikrianeb-s: | sthg makes sb pensive |
| | g. agh-a-prtovaneb-s: | sthg thrills sb |
| | h. a-a-caxcaxeb-s: | sthg makes sb tremble |
| (5) | Indirect transitives: | RS = GIO |
| | a. a-u-k'ank'aleb-s: | sthg makes sb's sthg[e.g.hands]shake |
| | b. da-u-manch'av-s: | sthg [e.g.pain] distorts sb's face |
| | c. a-u-msuq'eb-s: | sthg [rich food] sates sb's heart |
| | d. she-u-rujav-s: | sthg [flame] singes sb's sthg[e.g.hair] |
| | e. a-a-t'k'iveb-s: | sthg makes sb's sthg [body part] hurt |
| | f. a-u-panckaleb-s: | sthg makes sb's heart fibrillate |
| | g. u-cxuneb-s: | sthg [sun] burns sb's sthg [body part] |
| | h. u-jijgni-s: | sthg torments sb's heart |

The verbs in {4} and {5} only allow inanimate agents (or sources). The patients in {4} and the inalienable possessors in {5} are obligatorily animate, usually human. Though otherwise formally indistinguishable from direct transitives, the number agreement pattern for indirect transitives is somewhat different.³ The agents of these verbs, if plural, will almost never govern plural NA. This reflects a more general principal in modern Georgian, especially the spoken register, that animate RSs always govern NA in the verb, but inanimate RSs rarely do so [K'vach'adze 1977:99-104].

- {6}a. jarisk'ac-eb-i ezo-shi dga-nan/*dga-s
 [soldier-PL-NOM yard-in stand-I-3pl/*stand-I-3sg]
 "Soldiers are standing in the garden."
 b. cacxv-eb-i ezo-shi dga-s/dga-nan
 [linden-tree-PL-NOM yard-in stand-I-3sg/stand-I-3pl]
 "Linden trees are standing in the garden."

More interesting is the agreement behavior of plural objects of indirect transitives. As with indirect passives, the grammatical object (either GDO or GIO as the case may be), may govern plural NA if plural, and, indeed, take on other properties characteristic of RSs. Here is a 19th century example collected by A.K'iziria [1985:109]. The verb agrees in number with the 3rd plural GIO (inalienable possessor of the GDO), not with the GS "sleep."

{7} mere dzili mo-s-t'aceb-t tvals 0.

[then sleep-NOM abduct-I-3/3pl/3 eye-DAT 0-3pl-DAT]

"Then sleep will carry off their eyes." (G.Shat'berashvili)

Given the existence of both direct and indirect relation correspondences for both active and passive verbs, fig {3} stands in need of revision, as follows:⁴

	DIRECT		active			passive	
	agent	patient	IP	theme	IP		
<u>Series I,II</u>	GS/RS	GDO/RO	GIO/RO	GS/RS	GIO/RO		
<u>Series III</u>	GIO/RS	GS/RO	---/RO	GS/RS	GIO/RO		
	INDIRECT						
<u>Series I,II</u>	GS/RO	GDO/RS		GS/RO	GIO/RS		
or:	GS/RO	GDO/RO	GIO/RS				
<u>Series III</u>	GIO/RO	GS/RS		GS/RO	GIO/RS		
or:	GIO/RO	GS/RO	---/RS-chomeur				

In the course of reading contemporary Georgian literature I have run across a couple dozen cases of indirect transitives with plural patients, two of which I give here:

{9} me megobari ghvtis c'q'alobit bevri mq'avts, tanac isetebi, arian, st'umroba tu ganizraxes, veranairi amindi ver daabr'k'oleb-t 0;. [I-DAT friend-NOM god-GEN grace-INS many have-I-3/1, also such-NOM-PL are-I-3pl, guesthood-NOM if intend-II-3pl/3, no-kind weather-NOM cannot hinder-I-3/3pl 0-3pl-DAT]

"By the grace of God I have many friends; furthermore they are the sort of people that if they have decided to visit, no kind of weather can hinder them."

(J.Karchxadze; in Mnatobi #1:70 [1986])

{10} ar vici, ikneb mat, tvalshic ucnauri vchanvar da amit'omac meridebian. ucxo, dak'virvebuli mzera makvs da sheidzleba esc ak'rtob-t 0;.

[not know-I-1/3, perhaps their eye-in-too odd appear-I-1 and therefore avoid-I-3pl/1. strange attentive look have-I-3/1 and possible-is this-too spook-I-3/3pl 0-3pl-DAT]

"I don't know, I must seem odd to them, and so they avoid me. I have a strange attentive gaze, and perhaps this, too, spooks them."

(M.Xucishvili; short story "Ganc'menda"[1985])

The animate GDOs and GIOs of the above verbs govern NA, despite the presence of a more agentive core argument in the clause. This

means that rank on an agentivity hierarchy alone is not sufficient to determine RS-hood. The plural NA marker in all of these examples is -t, the same affix used in conjunction with the 3pl DAT agent of the Series III active verb in (2), and with 3pl DAT experiencers of indirect passive verbs (e.g. *mat xach'ap'uri mo-s-c'on-t* [they-DAT cheese-bread-NOM like-I-3/3pl]). Not all of the indirect transitives in exs (7)-(10) obligatorily subcategorize for inanimate agents. There is in fact a sizeable number of Georgian verbs which swing both ways, functioning as either direct or indirect transitives (from the point of view of agreement morphology) depending on the animacy of the agent, or on factors of focus and even lexical meaning. Some representatives of this subclass are listed in (11).

(11) LABILE TRANSITIVES

- | | |
|-----------------------|----------------------------------|
| a. da-a-int'ereseb-s: | sb/sthg interests sb |
| b. ga-a-k'virveb-s: | sb/sthg surprises sb |
| c. da-a-mt'vrev-s: | sb/sthg makes sb extremely tired |
| d. da-a-mdzimeb-s: | sb/sthg burdens sb |
| e. da-a-naghvleb-s: | sb/sthg troubles sb |
| f. ga-a-oceb-s: | sb/sthg astonishes sb |
| g. she-a-c'uxeb-s: | sb/sthg bothers sb |
| h. ga-a-xareb-s: | sb/sthg makes sb rejoice |

One characteristic of labile transitives is that properties tied to RS status (e.g. the Georgian equivalent of the Nominative Island Constraint) will shift from the GS to the GO depending on the direct/indirect status of the verb. Consider the binding behavior of the two principal arguments of *daaint'ereseb*, in its direct (a) and indirect (b) uses.

- (12)a. *es gogo-eb-i ertmanet-s a-int'ereseb-en zghap'rebit*
 [these girl-PL-NOM each-other-DAT interest-I-3pl/3 tales-INS]
 "These girls are getting each other interested in folk tales."
 b. *am gogo-eb-s ertmanet-i a-int'ereseb-t*
 [these girl-PL-DAT each-other-NOM interest-I-3/3pl]
 "These girls are interested in each other."

Note the shift in meaning between the two sentences in (12). The direct use of the verb requires an additional argument in the INS case, while the indirect use, with a stative rather than change-of-state meaning, does not. Literally, {12a} is "These girls interest each other with folk tales;" {12b} would be something like "Each other interest the girls."⁵ This indicates that semantic factors - often rather subtle - can bring about a shift of both morphological and syntactic RS properties from one argument to another, independently of the agentivity hierarchy. Number agreement between indirect transitive verbs and their animate patients, possessors and benefactives is not as automatic as that between direct transitives and their (animate) agents. Several factors contribute to the presence or absence of NA; so far I have isolated three of them, which I will briefly discuss here.

Word order: For some of my Georgian consultants, but not others, which argument immediately precedes the verb is crucial for determining the pattern of NA. Compare these sentences:

- {13}a. am k'ac-eb-s s-c'vav-t sircxvil-i
 [these men-DAT burn-I-3/3pl shame-NOM]
 b. am k'ac-eb-s sircxvil-i s-c'vav-t/-s
 "these men burn with shame."

Both sentences have the same meaning. Placing the grammatical subject before the verb increases its chances of blocking NA with the animate GDO (RS).

Anaphora: In the examples given in (9)-(10), the indirect transitive agrees in number with a zero anaphor having plural reference. It is indeed the case that more highly presupposed arguments are more likely to govern NA. Speech act pronominals, which are presupposed by the act of speaking itself [Silverstein 1981] govern NA more readily than 3rd person forms, and among the latter, NP types associated with topicality (anaphors; NPs denoting animate, more agentive arguments) are favored by the NA mechanism. My consultants confirmed that NA with the 3pl DAT RS of an indirect transitive verb was more to occur if the argument in question is represented by a zero anaphor than by an overt NP.

Aspect and series: One of the central categories around which the Georgian verbal system is organized is aspect. As in the Slavic languages, perfective vs. imperfective aspect is correlated with the presence vs. absence of a prefix of more or less directional meaning [Mach'avarani 1974; Schmidt 1985]. Series I verbs can be used with or without prefixes - in this way the future and conditional (with prefix) are distinguished from the present and imperfect (without). Verbs inflected for Series II or III are rarely used without prefixes; this is especially true of Series III [Pxak'adze 1984:37-78]. In the case of indirect transitives, series III presents an interesting situation. As shown in fig (8), inversion has vastly different effects on the relational structure, depending on whether the GDO or GIO is the RS in series I and II. For verbs of the first type (e.g. the verbs in (4)) series III inversion in a sense undoes the effects of inversion, "restoring" the RS to GS status. For verbs of the second type, inversion demotes the animate argument to a postpositional phrase. As it turns out, series III forms of RS=GIO type indirect transitives are in fact extremely rare.⁶ However, the primary factor determining the acceptability of these forms is the lexical aspect of the verb, not its argument structure [on Georgian lexical aspect see Holisky 1979, 1981b]. Indirect transitives fall into two main groups: those that focus on states (usually psychological), and those that describe changes of state. Several of the verbs in (4) and almost all of those in (11) are of this first type.⁷ As one would expect, the perfective stem forms of these verbs are marked, though not unacceptable. However, my consultants deemed NA with the grammatical object to be more unlikely, or even impossible, for prefixed forms of indirect verbs.⁸ In the case of change-of-state indirect transitives (e.g. about

half of the verbs in {4} and {5}) certain prefixed forms, even without plural NA with 3rd person objects, seemed very artificial to Georgian speakers. Among indicative-mood forms, present (i.e. non-prefixed) indirect transitives were almost always acceptable, future and aorist forms were occasionally rejected or disfavored, and (Series III) present perfect forms of indirect transitives - although listed in Tschenkeli's three-volume dictionary - were rarely judged to be acceptable. Whenever an indirect transitive was disfavored, a monopersonal passive with an oblique agent phrase was substituted. For example, the present, future and aorist forms of *gaabelat'eb's* "it makes him bald" were deemed acceptable by one of my consultants, but the present perfect sounded odd. She was much happier with the present perfect of the corresponding passive:

- {14} a. (aorist) *sibere-m k'ac-i ga-a-belat'-a*
 [age-ERG man-NOM make-bald-II-3/3]
 "Age made the man bald."
 b. (pres pf) ?? *sibere-s k'ac-i ga-u-belat'ebi-a*
 [age-DAT man-NOM make-bald-III-3/3]
 c. (passive pres.pf) *siber-isa-gan k'ac-i ga-belat'ebul-a*
 [age-GEN-from man-NOM is-made-bald-III-3]
 "The man has become bald from age."⁹

DISCUSSION: Though indirect and labile verbs are in the minority compared to direct ones, they are important indicators of typological changes within the Kartvelian family. I will discuss one of them here. While the case assignment and person agreement mechanisms in Georgian have undergone relatively little change since the earliest attested period (5th century AD), number agreement patterning has changed profoundly from Old Georgian to the modern language [Cole et al 1980; Tuite 1985]. Case has declined in importance as a determiner of NA, and animacy has become a more central criterion. In Old Georgian no DAT argument governed NA¹⁰ while any ERG and NOM argument, including the patients of Series II and III active verbs had this morphological privilege [Shanidze 1982:75]. The following examples come from Old Georgian:

- {15} *c'ina-uk'ana i-ar-n-es or-n-i dghe-n-i da ghome-n-i*
 [front-back go-II-3pl/3pl two day-PL-NOM and night-PL-NOM]
 "Two days and two nights they travelled, one after the other."
 [Vepxist'q'aosani 215:1] (cp ModGeo iares orni dgheni da ghameni)
 {16} *vitarca e-sm-n-es mepe-sa sit'q'wa-n-i ese*
 [as hear-II-3pl/3 king-DAT word-PL-NOM this]
 "As the king heard these words.." [Sibrdzne Balavarisi I:30]
 (cp ModGeo esmoda mepes es sit'q'vani)

In example {15}, a Class A verb in series II agrees in number with its plural NOM object "two days and nights." The indirect passive verb *smena* "hear" in {16} agrees in number with its NOM theme, but not with its DAT experiencer. Note that in both cases an inanimate NP controls NA. In the conservative mountain dialects of Pshavi and

Xevsureti, NA is extended to 1st/2nd person arguments, but not 3rd person, even if serving as the RS of inverse or indirect verbs [Gogolauri 1978].

- (17) q'mac'vil-eb-s u-tkom-0 [Gigineishvili et al:24,12]
 [boy-child-PL-DAT say-IIIa-3/3]
 "The children have said it." (cp ModGeo q'mac'vilebs u-tkvam-t)

Number agreement in -n- with plural direct objects of series II transitive verbs is still attested in these dialects, especially in texts collected before the second World War:

- (18) iman ise-n-i ga-i-cil-n-a [ibid:116,7]
 [s/he-ERG them-PL-NOM accompany-IIa-3/3p]
 "She accompanied them." (cp ModGeo iman iseni ga-i-cil-a)

In several eastern and northwestern dialects (Kaxetian, Fereidianian, Imeretian, etc.) any term argument in any person, especially if animate and topical, can govern NA [Chikobava 1968:276-7; K'iziria 1974]. The following passage is in the Kaxetian dialect [Gigineishvili et al:207,12]:

- (19) shemo-id-nen q'acheg-eb-i, upros-i e-ubneb-a-t 0,
 [enter-IIp-3pl bandit-PL-NOM. boss-NOM tell-Ip-3/3pl]
 "The bandits entered. The boss says to them...."

The suffix -t in the second verb marks the plurality of the addressee (the bandits). Note that this plural marking occurs even though the RS/GS of the verb is itself animate. So, in these dialects, unlike Tbilisi Georgian, the relative salience of RS and RO is not relevant in determining the presence of object agreement, but rather the prominence of the individual argument as such. GDO's in the 3rd person can also govern NA, though this is less commonly attested. In this Lower Imeretian example, the suffix -q'e(n) indicates the plurality of a grammatical object, in this case the patient [Gigineishvili et al:467].

- (20) shvil-eb-i gamo-v-zard-e-q'en rva ertat.
 [child-PL-NOM raise-II-1/3pl eight together]
 "I raised eight children all together."

The resemblance to NA with NOM direct objects in Old Georgian is only superficial. The Old Georgian phenomenon was strictly conditioned by surface case, the Imeretian one by animacy and topicality. Modern standard Georgian, the dialect spoken by educated Tbilisi residents (such as my consultants) is, I believe, in an intermediate position between Old Georgian and Kaxetian. I have attempted to represent the number-agreement situation in the dialects mentioned here in fig (20). X indicates NA (for animate arguments) in all three persons; 1/2 = NA in 1st and 2nd person only; (1) = prefixal 1st plural NA only.

{20}		OldGeo	Ps/Xev	ModGeo	Fer/Imer
<u>class A</u>					
ser.I	NOM	X	X	X	X
	DAT	(1)	1/2	(X)	X
ser.II	ERG	X	X	X	X
	NOM	X	X	1/2	X
ser.III	DAT	(1)	1/2	1/2	X
	DAT	(1)	1/2	X	X
	NOM	X	X	1/2	X
<u>class P - direct</u>					
all	NOM	X	X	X	X
	DAT	(1)	1/2	1/2	X
<u>class P - indirect</u>					
	DAT	(1)	1/2	X	X
	NOM	X	X	1/2	X

Two primary trends are represented in this chart. On the one hand, the morphological prominence of NOM case arguments - a remnant of the ergative typology reconstructed for Common Kartvelian [Harris 1985] - decreases from Old Georgian to Modern Georgian, with Pshav-Xevsurian representing a conservative holdout. On the other hand, as case decreases in importance, NP type increases, following this scale:

{21} 1st > 2nd > 3rd real subject > 3rd topical/animate

This says a lot about the significance of **subject** as a grammatical category in the various dialects of Georgian. If we take "real subject" as the closest Georgian equivalent - on semantic and syntactic grounds - to what we call subject in Standard Average European languages, then we note a surprising lack of morphological "privileges" attaching to it. Neither case marking nor person agreement pick it out in any straightforward way, in any of the dialects mentioned above.¹¹ Nor does number agreement, with the exception of Tbilisi Georgian and those dialects of similar structure [Kartlian, Javaxian], where only the RS can govern NA if 3rd person. But, rather than being an end in itself, the morphological prominence of the RS in standard Georgian appears to be only a way station between the ergative morphology of Common Kartvelian and the topicality/animate-based system found in dialects like Kakhetian and Imeretian.¹²

notes

0. An earlier version of this paper was read (in Georgian) at Tbilisi State University in November 1985. I gratefully acknowledge three colleagues from that institution for providing the grammatical judgments and insights underlying this investigation: Docents Shukia Apridonidze, Nani Ch'anishvili and Meri Nik'olaishvili. Thanks also to the organizations which supported my nine months of research in Georgia: the International Research and Exchanges Board, the Fulbright/Hays foundation and the Ministry of Higher Education of the USSR. Blame for errors is to be placed on the author.

1. The following should make fig.(1) more comprehensible. The Georgian verb, of whichever stem class, takes further markings indicating its membership in one of three series of tense-mood paradigms:

SERIES I: present, future, imperfect, conditional, etc.

SERIES II: aorist, optative, (non-negative) imperative

SERIES III: present perfect/evidential, pluperfect

In glossing Georgian verbs I will indicate series (by roman numeral), and person, in the order V/Mi/Md. Georgian declension comprises six cases: NOMinative, ERGative, DATive, GENitive, INSTRumental, ADVERbial. The semantic roles given in {1} are only the most typical - many formally passive verbs have agent subjects (e.g. verbs of directed motion). 'IP' stands for **interested party**, a catchall rubric for addressees, recipients, benefactives, experiencers, and similar semantic wallflowers.

2. A not inconsiderable number of verba sentiendi do not subcategorize for a NOM argument [Tschenkeli 1958:594-7; Shanidze 1961:223]. Since the morphotactics of the Kartvelian languages require the presence of a Set V affix on every finite verb [Oniani 1978:40], these verbs are formally speaking bipersonal, with a Set Mi affix crossreferencing the experiencer, and a 3sg Set V affix crossreferencing, if you will, a NOM case dummy. Some examples are: *mas h-ghvidzav-s* [s/he-DAT is-awake-I-3/3]; *mas e-mtknareb-a* [s/he-DAT feels-like-yawning-I-3/3].

3. In the discussion to follow, all statements about number agreement (NA) will in fact be statements about 3rd person number agreement. As mentioned above, NA with 1st or 2nd person arguments is not dependent on syntactic role, and therefore does not differ between direct and indirect forms of a given verb class.

4. Georgian is not the only Kartvelian language with a class of indirect transitives. Such verbs are found in Mingrelian and Svan as well. As in Georgian, the grammatical object can govern NA in the 3rd person, though the number of verbs allowing this NA pattern is smaller than in Georgian. Here are two examples, elicited from Elisabedi Gazdeliani (Svan - Lent'ex dialect) and Maq'vala Xarebava (Mingrelian).

(i) [SVAN] *sk'odi javr gvis x-o-c'xvavd-a-x al ch'q'int'i dedes i mamas* [deep worry-NOM heart-DAT trouble-I-3/3pl/3 this boy-GEN mother-DAT and father-DAT]

"Deep worry [agent,GS/RO] troubled the hearts [patient,GDO/RO] of this boy's mother and father [possessor,GIO/RS]"

(ii) [MINGRELIAN] *bosheps u-k'ven-a artianish ambe* [child-PL-DAT surprise-I-3/3pl each-other-GEN news-NOM]

"The children are surprised by each other's news."

lit: "Each other's news [agent,GS/RO] surprises the children [patient,GDO/RS]"

5. Similar instances of direct/indirect labiality are found with passive verbs; in fact, it is much more common than for active verbs. Tschenkeli 1958:486-90 discusses the following minimal pair:

- (i) *gak'vetil-i e-c'q'eb-a moc'ape-eb-s xval*
 [lesson-NOM begin-I-3/3 pupil-PL-DAT tomorrow]
 (ii) *moc'ape-eb-s e-c'q'eb-a-t gak'vetil-i xval*

The difference, says Tschenkeli, is one of "Betonung." The first sentence states simply that "der Unterricht beginnt." The more marked indirect version in (ii) carries the additional nuance that "die Schueler sind die 'Betroffenen' indem sie morgen beim Unterricht zu erscheinen haben." See also Jorbenadze 1981:66-75.

6. In compiling his dictionary, Tschenkeli did in fact generate series III indirect transitives of the sort I am describing here. The present perfect of, for example, *a-m-i-k'ank'aleb-s* "sthg makes my sthg (e.g.hands) shake" [with RS = GIO in DAT case] is given as *a-u-k'ank'alebi-a chem-tvis* lit."sthg-DAT has made sthg-NOM shake forme" with the experiencer argument demoted to a postpositional phrase. Since neither of the verb's term arguments is animate, it is unlikely that either of them would have much in the way of RS properties. Series III constructions with RS=GDO indirect transitives (such as the verbs in (4)), are a bit less rare, being occasionally attested in Georgian literature. Pxak'adze 1984:103 cites an example from the 17th century writer Sulxan-saba Orbeliani:

- (i) *me niadag shensa sakme-sa ga-v-u-k'virvebi-var*
 [I-NOM always your affair-DAT surprise-III-1/3]

"Your affairs [source,GIO/RO] have always surprised me [exp,GS/RS]"

7. These verbs are similar, semantically speaking, to most indirect passive verbs, which also denote psychological or physical states. The deep-case role of the argument I have been calling the "agent" is, for stative indirect transitives, closer to that of a source rather than an agent as typically conceived. Correspondingly, the "patient" is much closer semantically to the experiencer arguments of indirect passives than to prototypical patients. It is even the case that some stative indirect transitives fluctuate between Class A and Class P conjugation [Jorbenadze 1983:82-3; Aronson 1985].

8. Series II indirect transitives with 3pl object NA do crop up from time to time in Georgian literature. K'iziria 1985 has found several such instances in works by 19th century authors, e.g.

- (i) *shimshil-ma 3lier she-a-c'ux-a-t lek'v-eb-i*
 [hunger-ERG greatly bother-II-3/3pl puppy-PL-NOM]
 "Hunger was seriously troubling the puppies." (I. Gogebashvili)

Most of my consultants consider such constructions unacceptable in modern Tbilisi Georgian. The use of the 3pl marker -t in (i) is viewed as a (nonstandard) dialectism [on NA in the modern Georgian dialects, see the author's dissertation, due to appear before the next ice age]. There is evidence that presence of a prefix may not be an absolute bar to (3rd person) object agreement, even among fairly conservative speakers of standard Georgian. Suxishvili 1979 notes that many transitive verbs - especially causatives - can be used in the aorist with the desiderative particle *net'av(i)*. These verbs undergo a surface

valence change, in that no ERG case NP may appear in the clause. 3rd person arguments seldom occur as objects of these modal aorists, but when they do, NA with the verb is possible if the grammatical object in question is DAT:

- (ii) net'avi ga-a-k'etebin-a-(t) is mat
 [may cause-to-make-II-3/3pl/3 it-NOM them-DAT]
 "May they make it"
 (lit. "may sb/sthg cause them to make it")

The 3sg Set V marker -a in (ii) crossreferences the GS, a dummy ERG argument; the -t crossreferences the 3pl DAT RS/GIO. Similar to the above are verbs which direct the agency of some other-worldly being upon an earthling. K'iziria 1985 gives some examples, such as:

- (iii) da-s-c'q'evl-o-t ghmert-ma!
 [damn-II-3/3pl god-ERG]
 "God damn them!"

In both cases, a more discourse-salient grammatical object is pitted against a dummy or a spirit, and receives preferential treatment by the agreement morphology [on similar phenomena in Kashmiri, also involving transitive verbs with dummy agents, see Hook 1986]. Also, note that both of these are modal constructions. These data imply that Series II constructions which do not denote completed past action are more likely to allow object NA than those that do.

9. Several types of verbs manifest a shift between active and passive stems for reasons of aspect rather than argument structure. Verbs of motion, for example, are passive if telic and active if atelic. Verbs denoting activities as such, without focussing on their beginning or end points, are active; their corresponding inchoatives are passive [Holisky 1981a]. This distinction is especially clear in series III. One can find telic/atelic pairs in Georgian where the expected passive/active stem opposition is only found in the perfect, e.g. comitative activity verbs ["X does sthg with Y"] such as the following [Tschenkeli 1960-74]:

- (i) tamash- "play"

atelic comitative: PRES v-e-tamasheb-i (passive) "I play with sb"

AOR v-e-tamash-e (pass.), PRESPF m-i-tamashni-a (active)

telic comitative: PRES v-e-tamasheb-i (pass.) "I begin to play w/ sb"

AOR ga-v-e-tamash-e (pass.), PRESPF ga-v-s-tamashebi-var (passive)

10. The one exception is represented by the opposed Set M prefixes m- and gw-. This was at one time a means of marking exclusive vs inclusive 1st person, traces of which system are attested in early Old Georgian texts [Shanidze 1982:74]. It was reanalyzed as a 1sg/1pl opposition.

11. The one notable exception in the Kartvelian-speaking area is the southwest Georgian dialect group (Gurian and Ach'arian), where both case and number-agreement systems are realigning to mark RS in more direct way [see K'iziria 1974:76-8; Harris 1985:376-80; Tuite 1985].

12. Probably correlated with this lack of morphological prominence is the somewhat less pivotal status of the Georgian RS in the syntactic component as compared to that of Standard European subjects. Most of the syntactic privileges enjoyed by the Georgian RS - initial position in the sentence, reciprocal and reflexive binding, participation in topic chaining and zero anaphora - do not pertain to it exclusively, but only with a higher frequency than for other argument types [Enukidze 1978; Harris 1981; Apridonidze 1986].

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