Verb second, Subject Clitics, and Impersonals in Surmiran (Rumantsch)

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Verb-second is a popular topic in the syntactic literature, but most of the discussion of this construction has centered on languages of the Germanic family.¹ Some syntacticians have also discussed Verb-second in Romance, primarily on the basis of older stages of the modern languages which are no longer available for direct examination.

The only modern Romance language which appears to display Verb-second in a robust form is Rumantsch, and the present paper is devoted primarily to one form of that language, Surmiran. This language is described in a normative grammar (Signorell et al. 1987) as well as in older work such as that of Grisch (1939). Haiman and Benincà (1992) provide a general survey of Rumantsch in its various forms, including its (controversial) relation to Dolomitic Ladin and Friulian within a larger “Rhaeto-Romance” unit, though their description is descriptively limited with regard to Surmiran.

After a brief description of the external situation of Surmiran in section 0, the basic structure of clauses is described in section 1. Central to an understanding of Verb-second in the language is the Inversion construction discussed in sections 1.1 and 1.2, and the set of post-verbal subject clitics that can appear if the verb and its subject are inverted. Section 2 discusses an element (ins) which at first glance appears to be merely an impersonal subject pronoun, comparable to French on or German man, but which turns out to have a more complex analysis than this. Section 3 concludes that the evidence of sentences with ins representing the subject,

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together with some additional facts, show that “Verb-second” is not in fact an accurate description of Surmiran, and compares this language with other Verb-second languages.

0. The Language
The Rumantsch languages of Switzerland are spoken by approximately 60,000 people, most of whom live in the canton of Graubünden in the southeast of the country. Rumantsch is one of the four official national languages, though this should not be taken to imply a status equal in significant respects to French, German or Italian except in very specific localities within Graubünden. There are five recognized standards (Surselvan, Sutsilvan, Surmiran, Puter and Vallader), each with its own history, although the actual degree of dialect diversity is considerably greater than this. In addition, an artificial pan-dialectal standard known as Rumantsch Grischun has been widely promoted in recent years as a medium of education and communication, though this language lacks a community of native speakers, at least at present.

Surmiran is (together with Sutsilvan, the most marginal form of Swiss Rumantsch) a “central Rumantsch” language, and has about 3,000 speakers. It is still being learned by children, and is taught in local elementary schools (though it is being replaced in this function by Rumantsch Grischun in many areas). Essentially all Surmiran speakers are (at least) bilingual in German, and in Italian as well in some areas.

Surmeir, where Surmiran is spoken, includes the valley of the Gelgia leading from around Tiefenkastel up to the Julia Pass (a major route to the Engadine) and several adjacent valleys. Much discussion in the literature has focused on the dialect of Bergün (Bravuogn in Rumantsch). This, as well as the dialect of Vaz, is actually quite divergent from the normative standard of Signorell et al. (1987), which is based on the speech of the region around Savognin. The present paper is based on this latter form of the language, as spoken in Savognin and Salouf (a village of about 200 people, of whom 85% are Rumantsch speakers, the highest proportion in the country).

1. Clause Structure
I will assume without argument that the basic word order of Surmiran clauses is SVO, as exemplified in (1).

(1) Ursus discorra rumantsch stupent
   Ursus speaks.3SG Rumantsch excellently
   Ursus speaks Rumantsch very well

Non-subjects can, however, appear freely in initial position. As illustrated in (2), when this happens the subject appears after the finite (main or auxiliary) verb.
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(2) a. Rumantsch discorra Ursus stupent
   Rumantsch speaks.3SG Ursus excellently
   Ursus speaks Rumantsch very well

   b. Stupent discorra Ursus rumantsch
   excellently speaks.3SG Ursus Rumantsch
   Ursus speaks Rumantsch very well

   When the subject is inverted with the finite verb, the verb can be accompanied
   by a clitic element referring to the subject, as in sentence (3a). Such a clitic is not
   possible, however, when Inversion has not taken place, as in (3b).

(3) a. Rumantsch discorra='l Ursus stupent
   Rumantsch speaks.3SG-3SGM Ursus excellently
   Ursus speaks Rumantsch very well

   b.*Ursus discorra='l rumantsch stupent
   Ursus speaks.3SG-3SGM Rumantsch excellently
   (Ursus speaks Rumantsch very well)

   A table of the subject clitic elements in provided in (4). It is beyond the scope
   of the present paper to justify the designation of these as “clitics,” though there is
   some limited discussion of that matter in Anderson (2004).

(4) \[
\begin{array}{|c|c|}
\hline
\text{Person/Number(/Gender)} & \text{Subject clitic} \\
\hline
1sg & =a \\
2sg & =t \\
3sg masc. & ='l \\
3sg fem. & ='la \\
3sg impersonal & =(i)gl \\
1pl & =s(a) \\
2pl & 0 \\
3pl masc/fem & =igl \\
\hline
\end{array}
\]

   When a non-subject occupies initial position, and the verb is accompanied by a
   subject clitic from the set in (4), this sanctions a phonetically null subject, as in (5).

(5) Rumantsch discorra='l stupent
   Rumantsch speaks.3SG-3SGM excellently
   He speaks Rumantsch very well

   Surmiran is not in general a PRO-drop language: that is, null subjects are not
   allowed in the absence of a subject clitic, as shown by the ungrammaticality of
   sentences like (6).
(6)  a. *Discorra rumantsch stupent
    speaks.3SG Rumantsch excellently
    (He speaks Rumantsch very well)

        b. *Rumantsch discorra stupent
          Rumantsch speaks.3SG excellently
          (He speaks Rumantsch very well)

        Just as with the third person subjects illustrated above, first and second
        person subjects cannot be phonetically null (or omitted) except in the presence of a sub-
        ject clitic, though the fact that the second person plural clitic is itself null partially
        obscures this fact. First person examples are given in (7).

(7)  a. Ia/*θ discor mal rumantsch
     (I) speak.1SG badly Rumantsch
     I speak Rumantsch badly

        b. Rumantsch discor ia/*θ mal
          Rumantsch speak.1SG (I) badly
          I speak Rumantsch badly

        c. Rumantsch discorr=a (ia) mal
          Rumantsch speak.1SG-1SG (I) badly
          I (I) speak Rumantsch badly

        In all persons, the presence of an overt inverted subject together with a subject
        clitic lends a contrastive or emphatic force to the sentence.

        Surmiran also has a full set of object pronominal clitics, which behave in ways
        that are largely unsurprising for a Romance language. Some examples below will
        contain clitics of this type, but space considerations preclude a full analysis here.

1.1. The Inversion Construction

        Against this general background, let us take a closer look at the Inversion construc-
        tion. Among the non-subjects that can trigger this by appearing in initial position
        are argument DPs, PPs, participial phrases, entire clauses, etc., as illustrated in part
        in (8).

(8)  a. La steiva ò Ursus nattagea bagn
     the living room has.3SG Ursus cleaned well
     Ursus cleaned the living room well

        b. Tar igl gi da Rummy vala igl joker adegna
           in the game of rummy is.worth.3SG the joker always
           25 puncts
           25 points
           In the game of rummy, the joker is always worth 25 points
Among the variations on this theme that are worth noting is the possibility of having a bare past participle appear alone in initial position, as in the sentences in (9). When this happens, the participle cannot be accompanied by its object (if the verb is transitive) or by other complements. The only exception is certain short, common manner adverbs (such as mal ‘badly’), which some speakers accept in sentences like (9e). This complex of possibilities is reminiscent of the construction known as Stylistic Fronting in Icelandic and other Scandinavian languages.

(9)  a. Maglea va ia en traclo cun caschiel eaten have.1SG I a sandwich with cheese I ate a cheese sandwich
     b. *Maglea en traclo cun caschiel va ia eaten a sandwich with cheese have.1SG I
     c. La notg passada ò Gion durmia mal last night has.3SG John slept badly Last night John slept badly
     d. Durmia ò Gion mal la notg passada slept has.3SG John badly last night John slept badly last night
     e. (??)Durmia mal ò Gion la notg passada slept badly has.3SG John last night

Another interesting possibility is that of having an infinitive in initial position, followed by a finite form of the same verb. As with the participle construction in (9), the fronted infinitive cannot be accompanied by complements. These facts are illustrated in (10).

(10)  a. Cantar canta=’l Ursus ena canzung to.sing sings.3SG-3SG.M. Ursus a song Ursus is singing a song
      b. *cantar ena canzung canta=’l Ursus to.sing a song sings.3SG-3SG.M Ursus

This construction is again reminiscent of one found in other languages, such as the topicalized infinitives in Breton (Anderson 1981). Unlike Breton, however, Surmiran doubles the verb by a finite form of the same verb, rather than with a finite form of a dummy ‘light’ verb such as far ‘do’ as in the ungrammatical (11).
(11) *screiver fatsch ia en codesch
to-write do-1sg I a book

For some (but not all) speakers, the construction in (10) is only possible with synthetic forms of the verb, and not with periphrastic forms. This contrast is illustrated in (12).

(12) a. Cantar cantava=’l Ursus bagn
to.sing sang.3SGIMPERF-3SG Ursus well
Ursus was singing well
b. */?Cantar ò=’l canto Ursus bagn
to.sing has3SG-3SGM sung Ursus well
Ursus sang well

While constituents of a variety of types can appear initially, there is a limit of one such element in preverbal position. Sentences such as (13), in which the preverbal material does not correspond to a single constituent, are thus not possible.

(13) *Ier la steiva ò Ursus nattagea
Yesterday the living room has.3SG Ursus cleaned

Finally, it is important to note that the verb in the Inversion construction is accompanied by any and all clitic elements (in addition to a subject clitic, if present) that would appear with it in uninverted sentences, as illustrated in (14).

(14) a. Cleramaintg n’=ò=’l Ursus betg savia chegl
Obviously NEG-has.3SG-3SGM. Ursus not known that
Obviously Ursus didn’t know that
b. Ier seira n’=ans=ò Maria betg telefono
Yesterday evening NEG-1PL-has.3SG Maria not phoned
Yesterday evening Maria didn’t telephone us

In developing an analysis of the facts just reviewed, I propose to start from the “VP-internal subject” hypothesis, on which the basic subject position is that of the Specifier of VP. Assume further that the inflectional properties of the clause are realized on a verb which is head of IP. In a basic declarative clause with no (non-subject) topic or focus element in initial position, there is no reason to assume a structural distinction between IP and VP, so I will adopt a view of phrase structure that allows me to say this. On that picture, the structure of sentence (1) above is as in (15).
Where an initial non-subject position is required, I assume that this is Spec(IP). Since the Spec(IP) is no longer the same as Spec(VP) (the basic subject position), an additional layer of structure is required to distinguish IP from VP. In such a structure, some constituent of the core clause (the VP) is displaced to the Spec(IP) position.\(^2\) The verb must also be displaced from the head position within VP to the I head position in the matrix IP; this is presumably driven by the fact that it is only a verb in the head of IP that will acquire the clause’s inflectional features. A sentence like (2a) is thus assigned the structure in (16), with the two displacements just noted indicated by dashed arrows.

Note now that in a structure like (16) the finite verb (in I), which agrees with the subject, C-commands the basic subject position (Spec(VP)). This will be true precisely in the Inversion construction of which (16) is an instance, and I propose that it is this C-command relation between the agreeing verb and its subject that sanctions the presence of a clitic from the set in (4).

If the subject clitics themselves (as opposed to simple verbal agreement) are potentially referential, we can then say that a Binding relation exists between such a referential subject clitic and the subject DP position which it governs, and that this is what sanctions a null pronominal (\textit{pro}) in subject position in the presence of such a clitic. This is all part of a larger theory of agreement, clitics, and doubling.

\(^2\) Sentence-initial non-subjects are presumably assigned a discourse function such as Topic or Focus, and it is this discourse role that motivates their displacement. I have no analysis to offer at this point of the precise discourse-structure considerations at work here, and will simply assume that there is some required interpretation associated with sentence-initial position.
relations which is developed in Anderson (2005), to which the reader is referred for further details and discussion.

1.2. Inversion in Other Clause Types

Inversion in Surmiran is not limited to declarative main clauses. For pragmatic reasons associated with the interpretation of non-subject material in initial position, such constituents are rare in subordinate clauses, but when they occur, they trigger Inversion as in the sentences of (17).

(17) a. Cartez tg’igl settember turnan=s
    believe.2PL that-ART September return.SBJNCTVE.1PL-1PL
    ainten chel hotel
    in this hotel
    Do you think in September we’ll come back to this hotel?

b. Ia pains tgi dultschems vegia
   I think.1SG that sweets have.SBJNCTVE.3SG
   Corinna gugent
   Corinna gladly
   I think Corinna likes sweets

When question words are fronted, they also trigger Inversion as in (18).

(18) a. Tge ò='la (Ladina) cumpro?
    what has.3SG-3SG.F. Ladina bought
    What did Ladina/she buy?

b. Cura ò='la (Ladina) cumpro en auto?
    when has.3SG3SG.F Ladina a car
    When did Ladina/she buy a car?

   c. Igl auto da tgi ò='la (Ladina) cumpro?
       the car of whom has.3SG-3SG.F. Ladina bought
       Whose car did Ladina/she buy?

On the other hand, when the question word corresponds to the subject, Inversion would result in no change of word order. The fact that subject clitics are impossible when the subject is questioned, as shown in (19), while questions involving non-subjects do permit clitics (cf. (18)), suggests that in fact no Inversion occurs in this case.

(19) Tgi ò(=*I=*='la) cumpro en auto?
    who has.3SG(-3SG.M./F.) bought a car
    Who bought a car?
When the question word is extracted from an embedded clause, that clause preserves the basic order, and it is the matrix clause that displays Inversion, as in (20).

(20) Tge manegias te tgi Ladina vegia(*=la)
    what think.2SG you that Ladina have. SBJNCTVE.3SG(*-3SG.F.)
    cumpro?
bought
    What do you think that Ladina bought?

Inversion is also characteristic of yes/no questions, although in this construction there is no (overt) sentence-initial non-subject. The uniformity of this structure with that of other instances of Inversion is confirmed by the presence of subject clitics in sentences like the last two examples in (21).

(21) a. È igl viadi sto tger?
    is.3SG the trip been expensive
    Was the trip expensive?

b. Ast er te gost da neir?
    have.2SG also you desire to come
    Do you want to come too?

c. Lain=sa (nous) eir cugl tren?
    want.1PL-1PL we go with.the train
    Do we want to take the train?

d. At=ô=gl plaschia an Sicilia?
    2SG-has.3SG-3IMPERS pleased in Sicily
    Did you like Sicily?

On the other hand, Inversion does not occur in some instances where it might be expected. Subordinate clauses are commonly introduced by a complementizer tge, and we might expect this to count as a non-subject element in initial position. Sentences like (22) show that Inversion does not occur in this case.

(22) Siva tg’els on en unfant, stat el pi savens
    since that-they have.3PL a child is.3SG he more often
    a tgesa
    at home
    Since they have a child, he is home more often

Finally, we can note that (unlike questions) relative clauses do not in general show Inversion, regardless of what is relativized, as in (23).
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(23)  

a. Igl codesch tgi è sen meisa pos=t aveir  
    the book which is on the table can.2SG-2SG have  
    The book which is on the table you can have  

b. Igl velo tgi Ursus ò cumpro n’è betg nov  
    the bike which Ursus has bought NEG-is.3SG not new  
    The bike which Ursus bought is not new  

c. Igl gioven agl qual ia va scretg  
    The youngster to the which I have.1SG written  
    è sto igl mies scolar  
    is.3SG been the my student  
    The youngster to whom I wrote was my student  

d. La matta dalla qualla te ast survagna en canaster  
    the girl from the which you have.2SG received a basket  
    mareida proximamaintg  
    marries.3SG in the near future  
    The girl who turned you down is getting married soon  

We might expect the relative pronoun tgi to be similar to the complementizer tge in this respect, but it is more striking that even complex relative expressions such as agl qual ‘to which/whom’ fail to produce inverted orders (or the associated subject clitics).

Let us now consider how to incorporate these additional facts into the account of Surmiran structure developed in section 1.1. I suggested there that Inversion was associated with a structural differentiation of IP and VP forced by the need to provide a clause-initial non-subject position. From the absence of Inversion in embedded clauses introduced by tge, I conclude that such structure is not necessary to provide for this Complementizer. In fact, it is suggested in Anderson (2005) that tge does not occupy a structural position in phrase structure at all, but is rather a clitic introduced into the phonological form of embedded clauses on the basis of their character as complements. In any event, no structure above the level of VP (or IP, in the case of exceptional sentences like (17) with initial non-subjects in embedded clauses) is required to host tge.

Inversion does occur in (most) questions, so let us suppose that these are characterized by an interrogative operator Q, a feature of I. The presence of Q alone characterizes yes/no questions; in content questions, the question word itself must occupy (or move to) the position of the Specifier of the I containing Q. To establish its scope, Q must govern the entire clause (in yes/no questions) or all of it except for the question word in its specifier (for information questions).

Since Q is associated with I, in order to establish its scope in yes/no questions the elaborated structure with IP distinct from VP is required, which in turn forces the verb to be displaced to the higher I, yielding Inversion. In content questions, the higher structure is again motivated when the question word is not the subject;
the question word is displaced as required to the higher specifier position, and the verb to I, again yielding Inversion. When the question word is the subject, however, the minimal structure with VP=IP meets all of the required conditions without elaboration. The question word, as subject, is located in the position of specifier of the head I (=V) bearing $Q$, and that element in turn governs the remainder of the clause. This accounts for the asymmetry by which Inversion is not found in content questions where the content word is the subject.

Relative clauses differ from questions, in that no operator such as $Q$ is associated with I in a relative clause. The relative expression is preposed, and binds a gap within the clause. In subject relatives no word order changes are required, since the relative is already in clause initial position. In non-subject relatives, the relative expression is simply extracted and adjoined to the clause. In neither case is additional IP structure required, and as a result, none is projected, the verb remains in its base position, and the diagnostic properties of Inversion such as subject clitics do not appear.

Overall, then, I conclude that the implementation of “Verb-second” in Surmiran consists in displacing the verb from its base position as head of VP to the head of a containing IP where that is distinct. From this position, it C-commands the basic subject position, thus sanctioning the presence of a subject clitic. When such a clitic is present and interpreted as referential, this in turn sanctions phonologically null pro in subject position.

2. **The Syntax of ins**

Interesting additional light is shed on the nature of Verb-second in Surmiran by a consideration of the syntax of the element ins. This generally appears in lieu of an overt subject, with impersonal interpretation similar to that of German man or French on in sentences like (24).

(24) Ins na pò betg eir quant spert tg’ins vot

*ins* NEG can-3sg not go as fast that-*ins* wants.3SG

sen las autostradas svizras

on the freeways Swiss

You can’t go however fast you want on the Swiss freeways

Like impersonals in many other languages, ins cannot represent a non-subject argument, as illustrated in (25).

(25) a. *Igls pulizists na pon betg veir ins da lò*

*the policemen* NEG can.3SG not see *ins* from there

The police can’t see one from there
b. *Mintgign digls guiôla sia moda
each of the guides has.3SG the his way
da trattar cun ins
of to-deal with ins
Each of the guides has his way of dealing with one

Although superficially just a sort of indefinite pronoun with a restriction to subject position, ins does not act like other arguments (full DPs or pronominals) occupying subject position. In particular, it does not undergo Inversion with the verb when a non-subject is clause initial, as in (26).

(26) a. Dalla derivanza digls rets ins so tant scu
of the origin of the Rhaeti ins know.3SG so-much as
navot
nothing
Of the origins of the Rhaeti3 we know almost nothing.
b. D’anviern ins pó eir sur tot igls pass cun auto
In winter ins can.3SG go over all the passes with car
In the winter you can go over all of the passes by car

Similarly, ins fails to invert in questions of either the yes/no or the content type, as illustrated in (27).

(27) a. Ins viagia pi bagn cugl tren u
ins travels.3SG more good with the train or
cugl auto sch’ins fô viadis pi lungs?
with the car if-ins makes trips more long
Does one travel better by train or by car when making longer trips?
b. Tge meis digl onn ins dovra pneus
what month of the year ins needs.3SG tires
d’anviern aint igl Grischun?
of winter in the Graubünden
What month of the year do you need winter tires in Graubünden?

Although the position of ins immediately before the verb does not change in contexts such as (26) and (27) where we would expect Inversion, we do find another diagnostic of Inversion in these sentences. Specifically, a subject clitic =i(gl can appear in ins-sentences precisely when we would expect to find Inversion: in the presence of an initial non-subject as in (28a), in yes/no questions like (28b), and in content questions like (28c). This is the same clitic that appears in Inversion structures with other impersonals, such as existentials and weather verbs.

3 Early indigenous people of the Rumantsch area.
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(28)  
(a) Ainten chell’ustareia ins na magl=igl betg in this-in ins neg= eat.3SG-3IMPERS  not schi bagn, on=igl detg so well have.3PL-3PL said  
In this inn you don’t eat so well, they said  
(b) Ins pò=igl fimar cò? ins can.3SG-3IMPERS to-smoke here  
Can you smoke here?  
(c) Quant dei ins o=igl cugl auto anfignen how long ins has.3SG-3IMPERS with the car to sensom igl pass?  
top the pass  
How long is it by car to the top of the pass?

Etymologically, ins is derived from Latin unus like many other Romance impersonals. Its behavior, however, is not simply that of a pronoun. Rather, it seems more like the impersonal structures of Spanish or Italian in (29), which are based on a verbal clitic (in those languages, one identical with the third person reflexive) in association with an otherwise empty subject position, presumably occupied by a phonologically null pronominal of some sort.

(29)  
Spanish: En México se trabaja mucho in Mexico se works.3SG much  
In Mexico one works a lot  
Italian: Si lavora sempre troppo  
si works.3SG always too much  
One always works too much

Another parallel is with certain impersonal verbal forms in Celtic. McCloskey (2005) has recently shown that the “autonomous” form of the verb in Irish, illustrated by example (30a), occurs with a phonologically null subject PROARB with the semantics of an arbitrary pronoun. A similar analysis was proposed in (Anderson 1982) for the Breton verbal form in (30b).

(30)  
(a) (Irish:) Tugtar ‘madadh uisce’ go minic ar an give.PRES.AUT dog water often on the dobharchú otter  
The otter is often called a water-dog  
(b) (Breton:) An eil pred a anver merenn the second meal PRT call.PRES.AUT lunch  
The second meal is called lunch
In these respects, Surmiran *ins* differs from the corresponding elements in other forms of Rumantsch, as illustrated in (31).

(31) **Vallader:** Passand tras il desert *as= chatta*
    Passing across the desert 3SGREFL finds.3SG
    qualchevoutas skelets  
    sometimes skeletons
    Crossing the desert, one sometimes finds skeletons

**Puter:** Passand tres il desert chatta ün qualchevoutas
    passing across the desert finds.3SG man sometimes
    skelets
    skeletons

    Crossing the desert, one sometimes finds skeletons

**Surselvan:** Nua ein ins cun la lavur? Ins ei alla
    where is.3SG *ins* with the work *ins* is.3SG at-the
    fin. Na, alla fin ein ins mai.
    end no at-the end is.3SG *ins* never
    Where are we with the job? We’re finished. No, we’re never
    finished.

In Vallader, impersonals are formed using a third person singular reflexive verbal clitic, similar to the Spanish and Italian constructions of (29). In Puter, this construction is possible, as well as one with *ün* in subject position. Like Surmiran *ins*, this is a reflex of Latin *UNUS*, but unlike *ins*, it behaves as a normal pronoun and inverts with the verb when appropriate. In Surselvan, we have an element *ins* that is phonetically like the Surmiran form, but which (like Puter *ün*) acts like a normal pronoun. Finally, in Sutsilvan (which will be exemplified later below), we have *ign*, another reflex of *UNUS* which again acts like a normal pronoun.

It should be noted that some Surmiran speakers do accept sentences in which *ins* has inverted with the verb as in (32). They report, however, that this order “sounds like German.” Since nearly all speakers of Surmiran are bilingual in German, as noted above, this influence is not hard to account for. What is notable about it, indeed, is the fact that this order is still felt as foreign to Surmiran.

(32) #Chegl dei ins dapertot
    That says.3SG *ins* everywhere
    That they say everywhere (OK, but ‘sounds like German’)

Since *ins* comes historically from *UNUS* used pronominally, it ought to behave as a pronoun. So why does it display the strange behavior it does? A clue is furnished by the fact that in at least one older description, Grisch (1939) transcribes
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*ins* as homophonous with *ans=*, the first person plural object clitic. And indeed, in rapid speech for many speakers, the two may not be distinct phonetically.

A relation between impersonals and first person plural forms is known from both French and Italian. As illustrated in (33) impersonal forms in these languages can be used with first person plural reference.

(33) **French:** Nous, on fait pas ça ici  

*We don’t do that here*

**Italian:** Si è contenti quando *ci=* scrivono  

*We are happy when they write to us (Burzio (1992))*

Indeed, one occasionally finds Surmiran sentences such as (34) in which impersonal *ins* must be interpreted as having first person plural reference.

(34) Scu indigen ins sa renda savens betg ple chint digls  

*As natives *ins* REFLECT take often not much account of the dangers of our nature*

As locals, we often don’t pay attention to the dangers in our natural setting.

It is not implausible to suggest, then, that a relation between impersonals and first person plural forms might have some role to play in the development of *ins*. This is not to suggest that they are the same element in the modern language: for one thing, they are phonetically distinct (as *[ins]* vs. *[ans]*)) outside of rapid speech. In addition, although both act as if they were clitics attached at the left of the finite verb, they occur in different positions with respect to other clitics, as shown in (35).

(35) Da lò ins *n’=ans=* vei=*gl* betg cleramaintg  

*From there *ins* NEG-1PL sees.3SG-3SG not clearly*

From there one doesn’t see us clearly

Furthermore, in periphrastic modal constructions such as (36), *ins* always precedes the finite verb, while *ans=*, like other object clitics, can attach to the infinitive.

(36) a. El vot *ans=* tarmetter dumang ena factura  

*He wants.3SG 1PL to send tomorrow a bill*

He wants to send us a bill tomorrow  

b. Mintgatant ins stò(*=gl*) spitgier en po  

*often *ins* must.3SG(-3IMPERS) wait a bit*

Often you have to wait a bit
What should we conclude from these facts? The behavior of ins, and in particular its failure to invert when appropriate despite evidence (from subject clitics) that the associated verb has in fact been displaced in the same way as other Inversion constructions, finds a natural explanation if we say that it has been re-analyzed as a special sort of preverbal clitic. I propose, then, that sentences with ins have a structure parallel to that of the Spanish and Italian examples in (29), with the subject position occupied by phonetically null PRO Arb and a clitic (here, ins=) attached to the verb and positioned before other clitics such as the first part of negation or an object pronoun.

Historically, I suggest that this situation arose as a result of the similarity of ins to the first person plural clitic ans=. This reanalysis was facilitated by similarities to Italian, a language in which (a) impersonal sentences involve PRO Arb as subject and a preverbal clitic, and (b) first person and impersonal reference are closely related. Given widespread familiarity with Italian on the part of Surmiran speakers, especially before the more recent expansion of German influence in Graubünden, this does not seem an implausible suggestion, though of course more historical evidence would certainly be welcome to confirm it.

If this is correct, then under conditions triggering Inversion a verb whose subject is PRO Arb is displaced from V to I, just like any other. It thus comes to C-command the basic subject position (containing PRO Arb), resulting in the possible introduction of an appropriate subject clitic (=i)gl.

3. Verb-second in Surmiran

What is the significance of these facts for an understanding of Verb-second in Surmiran? As a clitic, ins is attached to the finite verb, and does not alter its position with respect to that word under displacement in Inversion constructions. But that implies that the sequence ‘ins+verb’ is simply another instance of the verb together with its accompanying clitic(s). As a consequence, sentences like (24) have no phonetically realized element preceding the verb, and so the verb is not in fact in second position, but rather first. If, on the contrary, we were to say that ins in (24) ‘counts’ as filling first position, then we would be in trouble with sentences like (26), where an initial non-subject, combined with ins, would result in the verb being in third position. Since no other re-orderings occur in these cases, we have to conclude that the verb in Surmiran is not in fact required to be in second position.

In fact, there are a few other sentence types that reinforce this point. Matrix experiencer predicates (‘be unhappy’, ‘seem’, etc.) with postposed sentential subjects and clitic pronominal experiencers, have the verb together with its object clitic in sentence-initial position, as in (37).
Verb Second, Subject Clitics, and Impersonals in Surmiran (Rumantsch)

(37) Am= displai / A me displai(=gl)
1SG displeases / to me displeases (-3IMPERS)
tgi chesta construziun antscheva cugl verb
that this sentence begins with the verb
I am unhappy that this sentence begins with the verb

Sentences of this sort are always impersonal. It is possible for them to have
an initial dummy subject igl; such dummy subjects are normally obligatory in true
impersonal sentences, but with a pronominal clitic representing the experiencer,
need not appear. On the other hand, when the experiencer is represented by a full
PP, as in the second variant of (37), initial igl is obligatory unless the experiencer
PP is preposed (as here), in which case we have a normal Inversion construction as
evidenced by the possibility of the subject clitic. The generalization seems to be
that a preverbal clitic (ins, or am= in (37)) can count as “sort of” a subject, thus
avoiding the need either for dummy igl or Inversion. Obviously, this suggestion
remains to be made much more precise, but it seems a possible line of analysis.

For some perspective on these facts, consider their analogs in Sutsilvan, a closely
related form of (“Central”) Rumantsch. Here the cognate of ins, namely ign (also
etymologically from Latin UNUS) behaves like a normal pronoun occupying an arg-
ument position rather than like a clitic in sentences like those of (38). As a result,
it undergoes Inversion in sentences parallel to ones in Surmiran above in which
Inversion does not take place.

(38) a. Ign dastga fimar dapartut an quell’ustreia
    ins can.3SG to.smoke everywhere in that restaurant
You can smoke anywhere in that restaurant

b. Gl’unviern san ign ir cugl auto sur tut
    in winter can.3SG ins to.go with the car over all
    igls pass
    the passes
In the winter you can go over all of the passes by car (cf. (26b) above)

c. Quant gitg ân ign cugl auto antocen senzum igl pass?
    how long has ins with the car to top the pass
How long is by car to the top of the pass? (cf. (28c) above)

Furthermore, impersonal experiencer sentences in Sutsilvan parallel to Surmiran examples in which the verb is initial, like the first variant of (37), always have
dummy subjects as illustrated in (39).
I am sorry that I have to stay home this evening.

I conclude that the grammar of Sutsilvan really does constrain the verb to occur in second position, through mechanisms discussed in Anderson (2005). The same appears to be true of the other Swiss Rumantsch languages, though a demonstration of that must be left to another occasion. In Surmiran, however, the element ins was reanalyzed as a clitic, under the influence of its similarity to ans= and other factors cited above. Such a reanalysis could not have taken place in Sutsilvan, since ign bears no particular resemblance to any preverbal clitic. As a result, for a significant class of sentences the Verb-second condition ceased to be true in Surmiran, and was lost from the grammar. In the modern language, the sense in which Surmiran is a “Verb-second” language is limited to the fact that Inversion occurs where it is motivated: that is, the verb is displaced from V to I exactly when the clause displays IP structure distinct from that of the core VP.

There is no little irony in this: the standard story about Verb-second in German that dominates the syntax literature claims that in this language, Verb-second consists in the requirement “Displace the verb from I to C”. Much of that literature treats the “second position” effect as epiphenomenal, and the required verb raising operation (which blocks under some circumstances) as primary. In Anderson (2005), however, it is argued that the best analysis of all of the Indo-European Verb-second languages (apart from Surmiran), including members of the Germanic, Celtic, and Indic families, involves explicit verb second requirements, with displacement of the verb following from these, rather than the other way around.

On this account, Surmiran works the way German is often thought to, and isn’t a Verb-second language in the sense of having a second position requirement in its grammar at all. It just looks like a Verb-second language, because the effect of Inversion (which is driven by something quite different) typically has the epiphenomenal consequence of locating the verb after exactly one sentence-initial constituent.

References

Verb Second, Subject Clitics, and Impersonals in Surmiran (Rumantsch)


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